

Attorney Docket No. 12504.548

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

United States Patent Application  
United States National Stage of PCT/US04/19725

Applicant: ARIZONA BOARD OF REGENTS, a body  
corporate of the State of Arizona, acting for  
and on behalf of ARIZONA STATE  
UNIVERSITY

Group Art Unit: Unassigned

Examiner: Unassigned

Title: Pancratistatin Cyclic Phosphate Prodrugs and  
Phenpanstatin Cyclic Phosphate Prodrugs

Serial No.: Unassigned

International Filing Date: 18 June 2004

U.S. Filing Date: December 15, 2005

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir,

Attached to this Information Disclosure Statement is form PTO/SB/08A listing documents that may be relevant to the subject application. Applicant respectfully requests that these documents be considered by the examiner and an initialed copy of each page of the form be returned to the undersigned.

A copy of each listed document is enclosed. Some of the documents may have markings thereon. No significance is meant to be attached to the markings.

## INFORMATION DISCLOSURE STATEMENT

Docket No. 12504.548

Title: Pancratistatin Cyclic Phosphate Prodrugs and Phenpanstatin Cyclic Phosphate Prodrugs  
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Pursuant to 37 C.F.R. §§ 1.97 (g) and 1.97 (h), this disclosure statement should not be construed as a representation that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

It is believed that this disclosure complies with the requirements of 37 C.F.R. §§ 1.56, 1.97, and 1.98, and the Manual of Patent Examining Procedure § 609. If for some reason the Examiner considers otherwise, the undersigned respectfully requests that she be called so that any deficiencies can be remedied.

Dated: December 15, 2005

Respectfully submitted,



Susan Stone Rosenfield  
FENNEMORE CRAIG

Reg. No. 36,287

3003 N. Central Avenue, Suite 2600

Phoenix, Arizona 85012-2913

Tel: (602) 916-5317

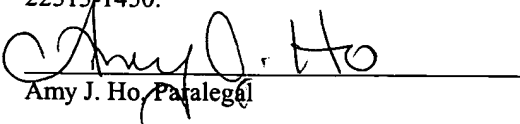
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CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.10

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Date of Deposit: December 15, 2005

I hereby certify that this paper and all documents and any fee referred to herein are being deposited on the date indicated above with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, postage prepaid and addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Amy J. Ho, Paralegal

December 15, 2005

Date of Signature

|  |                                       |   |
|--|---------------------------------------|---|
| <b>LIST OF REFERENCES CITED BY APPLICANT</b><br><b>Form PTO-1449</b><br><i>(Use several sheets if necessary)</i> | ATTY. DOCKET NO.:<br>12504.548        | APPLICATION NO.:<br>10/561338<br>Unassigned |
|  | APPLICANT:<br>George R. PETTIT et al. |   |
| Sheet 1 of 1   | FILING DATE:<br>December 15, 2005     | GROUP:<br>TBA                               |

| U.S. PATENT DOCUMENTS |             |                 |      |      |       |          |                               |
|-----------------------|-------------|-----------------|------|------|-------|----------|-------------------------------|
| *EXAMINER<br>INITIAL  | CITE<br>NO. | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF<br>APPROPRIATE |
|                       | A1          |                 |      |      |       |          |                               |
|                       | A2          |                 |      |      |       |          |                               |

| FOREIGN PATENT DOCUMENTS |    |                 |            |         |       |          |             |    |
|--------------------------|----|-----------------|------------|---------|-------|----------|-------------|----|
|                          |    | DOCUMENT NUMBER | DATE       | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|                          |    |                 |            |         |       |          | YES         | NO |
|                          | B1 | WO 02/50023     | 27/06/2002 | WIPO    |       |          |             |    |
|                          | B2 |                 |            |         |       |          |             |    |

| OTHER REFERENCES <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i> |    |   |
|--|----|---|
|  | C1 | International Search Report for PCT/US04/19725 dated January 11, 2005.  |
|  | C2 | Written Opinion of the International Search Authority for PCT/US04/19725 dated January 11, 2005.  |
|  | C3 | Pettit et al., "Antineoplastic Agents. 511. Direct Phosphorylation of Phenpanstatin and Pancratistatin", <i>J. Nat. Prod.</i> , 67:322-327, (2004). |
|  | C4 |   |

|   |                        |
|---|------------------------|
| <b>EXAMINER</b>   | <b>DATE CONSIDERED</b> |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                        |